

# Characterization of Titanium Dioxide Coatings Obtained by Vacuum-arc Deposition

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**Abstract.** TiO<sub>2</sub> coatings on AISI 430 stainless steel were produced by the vacuum-arc deposition technique with the application of modified curvilinear magnetic filter allowed enhanced deposition rates up to 50 μm/ hour decreasing the amount of macroparticles. The structure, chemical and phase composition of the obtained coatings was investigated using SEM with EDX, XRF and XRD analysis. According to X-ray diffraction analysis, the formation of stoichiometric TiO<sub>2</sub> phase took place. The mechanical and tribological properties were established. The obtained coatings had average nanohardness of 13.8 GPa and Young’s modulus of 211 GPa. Dry friction wear tests revealed high resistance of the coating to wear showing low friction coefficient under a load of 50 N. The statistical results of photo-catalytic activity showed a significant decrease of *E.coli* colonies on samples coated with TiO<sub>2</sub> during 20 min UV exposure format.

**Keywords:** Titanium dioxide, structure, vacuum-arc deposition, coating, phase composition, nanohardness, photocatalytic activity

## 1 Introduction

Titanium dioxide TiO<sub>2</sub> has many potential applications as a coating including medical technology; wear protection, due to non-toxicity, high photocatalytic activity, and strong self-cleaning ability [1].

Titanium dioxide is a well-known photocatalyst in presence of UV light. A substantial amount of literature has been published on the effect of photocatalytic TiO<sub>2</sub> nanoparticles on microorganisms [2,3]. According to the literature data TiO<sub>2</sub> on stainless steel reduces bacterial activity of *E.coli* by 99 %. This provides an effective antimicrobial surface coating method for medical implements thereby reducing the risk of hospital-acquired infections [4]. The efficiency of photocatalytic disinfection is attributed to the oxidative damage mainly induced by reactive oxygen species (ROS), such as O<sub>2</sub><sup>-</sup>, H<sub>2</sub>O<sub>2</sub> and HO<sup>-</sup>. These reactive oxygen species are produced by redox reactions between adsorbed species (such as water and oxygen) and electrons and holes photo-generated by the illumination of TiO<sub>2</sub>.

TiO<sub>2</sub> films were prepared by various methods, such as chemical vapor deposition, pulsed laser deposition, sol-gel deposition, spray pyrolysis; plasma enhanced chemical vapor deposition and DC/RF magnetron sputtering [5-9].

It is well known that the method of vacuum-arc deposition provides a wide variation of the microstructure and hardness of coatings by changing the grain size, crystallographic orientation, lattice defects, texture, as well as surface morphology and phase composition [10-14]. The factors that have limited the applications of PVD coatings as complete barriers to corrosion are the presence of coating defects such as pinholes, voids, cracks and macro-particles.

In so doing, a new source of filtered vacuum arc plasma was used providing transportation to the condensation surface of at least 60% of the current of ions emitted by cathode spots. This is 1.5 times higher than that of known analogues. Deposition rate reaches several tens of microns per hour that is comparable to traditional sources of unfiltered plasma. The area occupied by macroparticles is less than 0.2% of total area of coating per 1 μm of its thickness.

In this research TiO<sub>2</sub> coatings were deposited onto AISI 430 SS surface using vacuum-arc evaporation method using modified curvilinear filter. The structure, chemical and phase composition of the obtained coatings have been investigated. The mechanical and tribological properties have also been established. Photocatalytic activity of TiO<sub>2</sub> coatings have been tested under UV exposure.

## 2 Experimental

Polished stainless steel samples of 25 × 25 × 3 mm size were used as the substrate material (roughness Ra ≈ 0.09 μm). The chemical composition of stainless steel substrate was monitored by portable XRF analyzer of Z-300 LIBS type by SciAps corp. (USA) and presented in Fig.1.

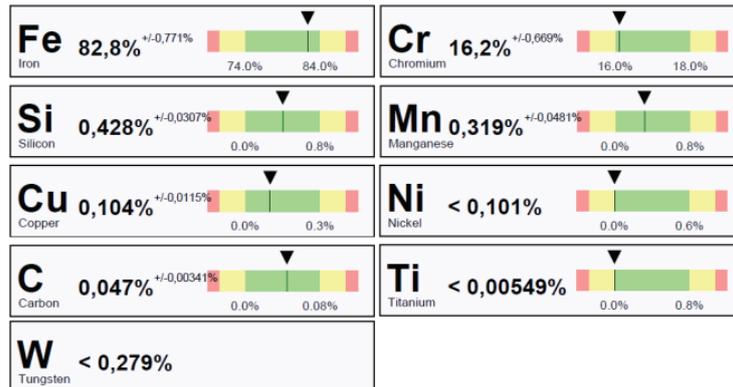


Figure 1. Chemical composition of AISI 430 stainless steel.

TiO<sub>x</sub> coatings were synthesized using vacuum-arc deposition in Bulat- type device. The scheme of experimental equipment is shown in Fig.2. To apply coatings the chamber was evacuated to a pressure of 1×10<sup>-4</sup> Torr. First, the pulsed negative bias of 1000 volts with a frequency of 50 kHz was applied to the sample holder. Vacuum arc was ignited (Id = 105 A) and the samples were cleaned by titanium ions in the pulsed mode for 1.5 min. Next, the chamber was filled with oxygen to a pressure of about 4×10<sup>-3</sup> Torr and titanium dioxide was deposited during 10 minutes. Deposition rate was 50 μm/h.

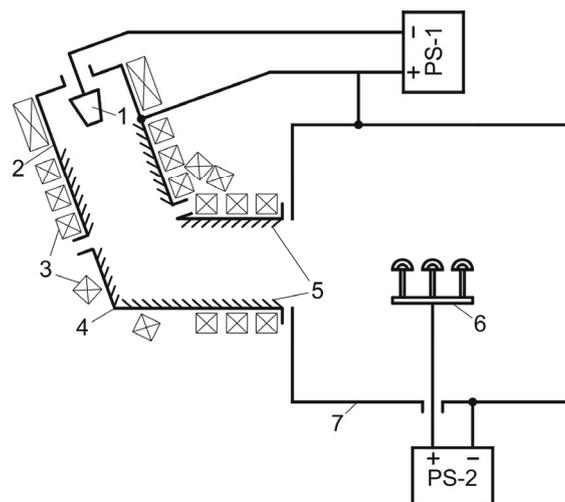
The surface topography of the coatings was studied using JEOL JSM-6390LV (USA) scanning electron microscope (SEM) with an accelerating voltage of 20 kV, chemical composition was examined using EDX analysis.

Energy-dispersive spectrometer SPRUT-K (AO Ukrrentgen, Ukraine) was used for X-ray fluorescent analysis. Spectrometer was equipped with Si (Li) X-100 detector (Amptek, USA) in the arrangement with a Si and KCl secondary target. An X-ray tube BS-22 with shooting-through type Ag anode was applied. The thickness of TiO<sub>2</sub> coating according to XRF data comprised 5 μm.

X-ray diffraction (XRD) analysis were performed using DRON-3M device, under Cu-Kα radiation, monochromated by (002) HOPG in diffracted beam. The XRD line scans were performed in θ-2θ scanning mode where the incident angle θ and diffracted angle 2θ are scanned simultaneously. The crystallite size was determined from the broadenings of corresponding X-ray spectrum peaks by using Scherrer's formula.

The nanohardness was measured by Nanoindenter G200 (USA). The loading and unloading rates of the nanoindentation were 10 mN/min. Samples were tested to a depth of 500 nm. The distance between prints were 15 μm and for each sample was made 7 prints.

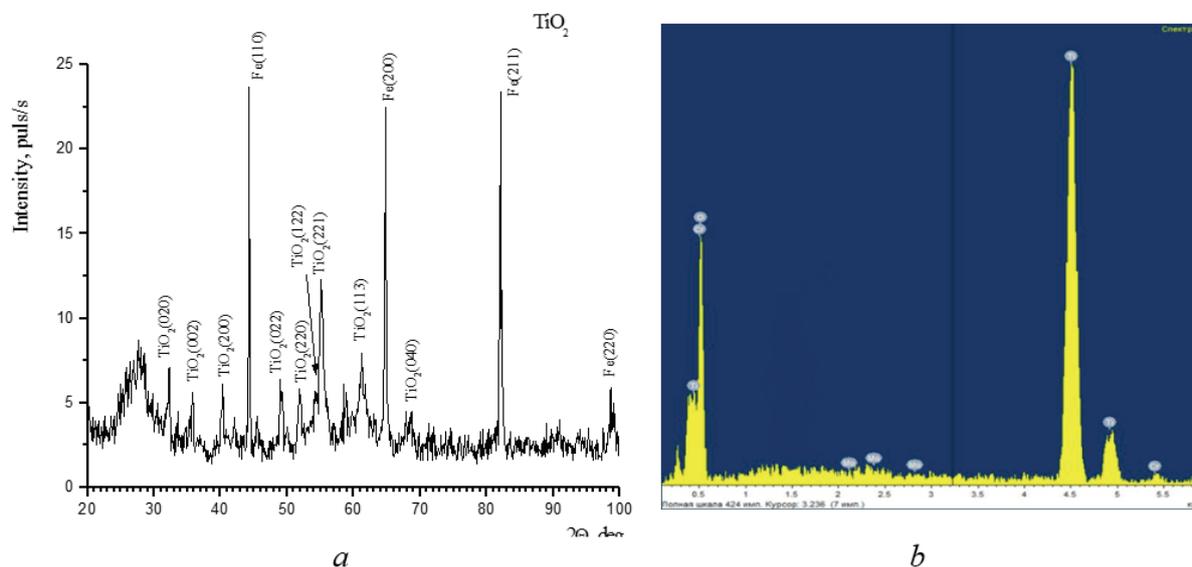
Tribological properties of the coating were carried out using ball-on-disc method on SMT-1 type machine. The rotation frequency of the rollers (counterbody) was 500 min<sup>-1</sup>. Sample loading was performed at 50 N and 100 N. The duration of each stage was 5 minutes. Lubrication conditions were dry friction. Material of the roller was 100Cr6 steel. The wear and microhardness of the sample were measured after two stages. At the same time, the coefficient of friction was fixed at each stage.



**Figure 2.** Scheme of experimental equipment: 1 – cathode; 2 – anode; 3 – electromagnetic coils; 4 – duct; 5 – baffles; 6 – samples; 7 – vacuum chamber; PS-1 – arc discharge power supply unit; PS-2 – source of pulsed negative bias.

### 3 Results and Discussion

The chemical and phase composition of the coating were studied by X-ray diffraction analysis and energy-dispersive X-ray spectroscopy EDX. The XRD and EDX patterns of  $\text{TiO}_2$  are shown in Fig.3a, b. The diffraction peaks indicate the formation of crystallized  $\text{TiO}_2$  coating with some strong Fe peaks from the substrate material. XRD analysis revealed the existence of  $\text{TiO}_2$  phase only (in accordance with JCPDS card 21-1236) with lattice parameters ( $a=4.531$ ;  $b=5.498$ ;  $c=4.900$ ) (Fig.3a).



**Figure 3.** XRD pattern (a) and EDX spectrum (b) from  $\text{TiO}_2$  coating on AISI 430 SS

No additional phases have been observed. The EDX spectrum consisted the characteristic peaks of titanium and oxygen and low Cr, Fe, Si peaks from the substrate material (Fig.3b.). The chemical content was Ti = 29.54 at. % and O = 69.40 at. % (Tab.1). It indicates that the deposited films were stoichiometric. There was no variation in the chemical composition in the coating.

**Table 1.** Chemical composition of TiO<sub>2</sub> coating in accordance with EDX

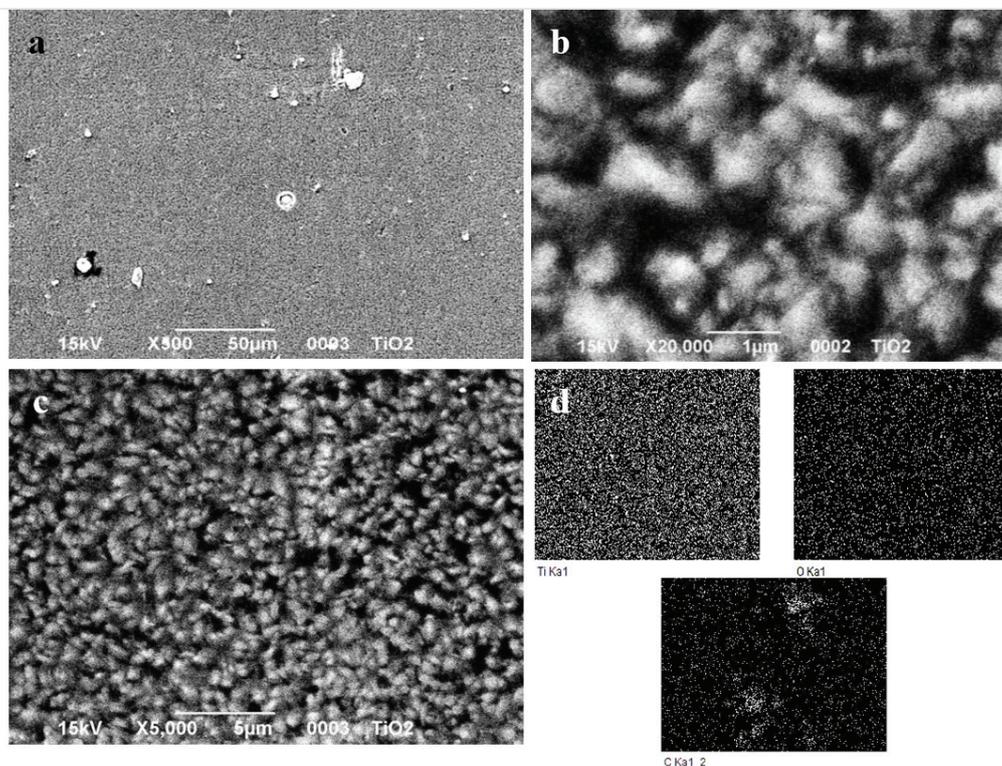
Element	wt%	at%
O	43.00	69.40
Ti	54.79	29.54
Cr	1.40	0.69
Fe	0.81	0.37

The crystallite size of the deposited TiO<sub>2</sub> coatings was calculated from the full width at half maximum intensity ( $\beta$ ) (FWHM) of the X-ray diffraction angle ( $\theta$ ) of the peak and the wavelength ( $\lambda$ ) of copper X-ray radiation using Debye-Scherrer's relation taking into consideration that no strains were developed in the films.

$$D = K\lambda / \beta \cos \theta \quad (1)$$

where, K is a constant with the value of 0.9 for copper X-ray radiation and  $\theta$  the diffraction angle. Crystallite size of the titanium dioxide film was 21 nm.

The surface morphology of deposited TiO<sub>2</sub> coating was investigated. Fig. 4a-c shows SEM images of TiO<sub>2</sub> coating at various magnifications.

**Figure 4.** SEM images (a-c) and EDX mapping (d) of TiO<sub>2</sub> coating on AISI 430.

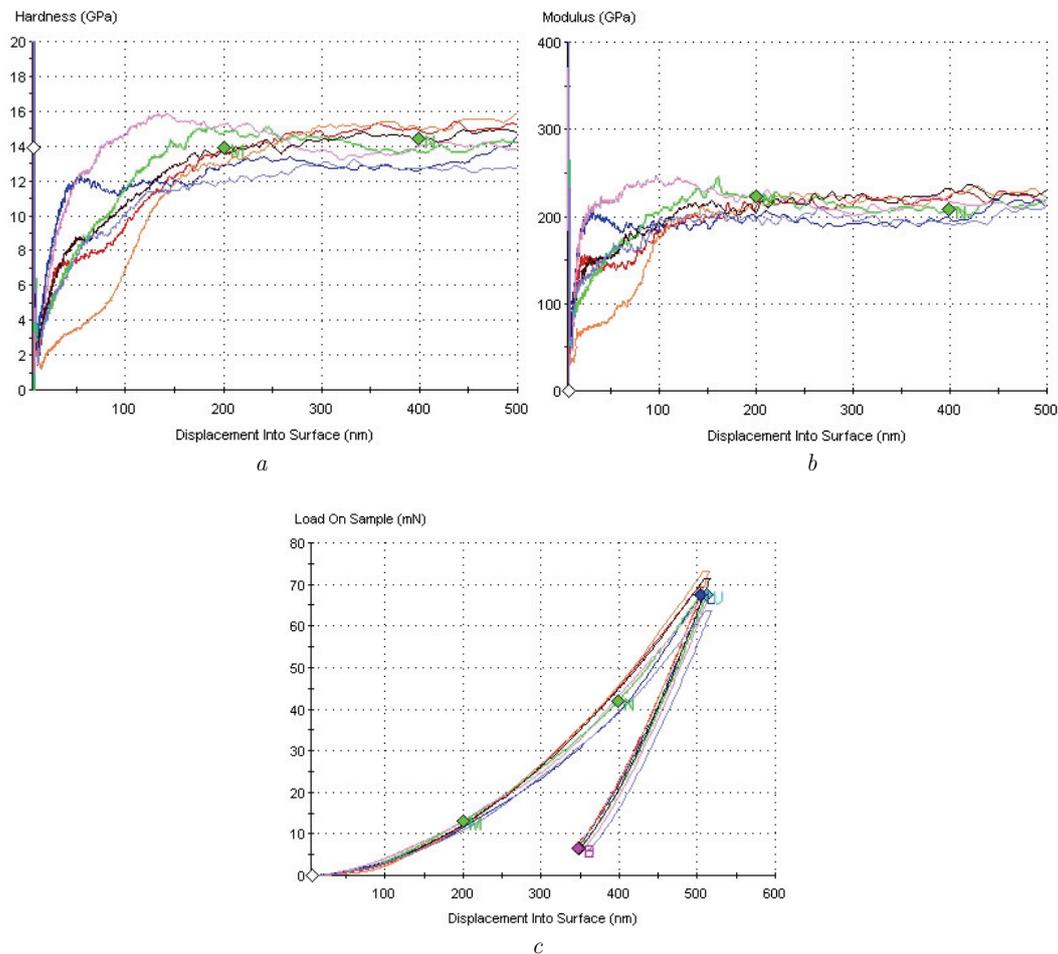
The quality of the coating revealed very low amount of macroparticles distributed over the surface. The coating composed of relatively small globular TiO<sub>2</sub> nanoparticles aggregated to form clusters. EDX mapping of distribution of chemical elements over the surface is shown in Fig.4d.

### 3.1 Mechanical Properties

The  $H/E$  ratio is a criterion of the plastic index of the materials, and a small ratio supports elastic deformation during contact motion and is therefore beneficial for enhancing the tribological performance of the material. The  $H^3/E^{*2}$  ratio (where  $E^* = E/(1-\mu^2)$  – the effective elastic modulus;  $\mu$  – Poisson's ratio)

are qualitative comparative characteristic of the plastic deformation resistance of the material. The nanoindentation diagrams for TiO<sub>2</sub> coating are presented in Fig. 5. The results of  $H$  and  $E$  values for 7 prints are summarized in Tab.2. According to nanohardness tests, the average value of nanohardness for TiO<sub>2</sub> was 13.8 GPa with the data spread 9.53 %. The average value of elastic modulus was 211.072 GPa. The data spread does not exceed 7.71 %.

The shear modulus ( $G$ ) and yield stress ( $\sigma_T$ ) are defined as  $G=E/2\times(1+\mu)$ ;  $\sigma_T=H\mu/3$  (Tab.2,3).



**Figure 5.** Nanoindentation diagrams for the TiO<sub>2</sub> coating: nanohardness (a), elastic modulus (b), load-unload diagram (c).

**Table 2.** The results of TiO<sub>2</sub> coating mechanical test

Test	$E$ , GPa	$H$ , GPa	$H/E$	$H^3/E^2$	$G$ , GPa	$\sigma_T$ , GPa
1	216.814	14.515	0.067	0.057	135.51	4.83
2	194.802	12.956	0.067	0.050	121.75	4.31
3	214.05	14.36	0.067	0.057	133.78	4.78
4	220.587	14.447	0.065	0.054	137.87	4.81
5	214.036	14.114	0.066	0.054	133.77	4.70
6	219.956	14.214	0.065	0.052	137.47	4.73
7	197.258	12.558	0.064	0.045	123.29	4.18
Average	211.072	13.881	0.066	0.053	131.92	4.62

**Table 3.** Mechanical properties of AISI 430

Test	$E$ , GPa	$H$ , GPa	$H/E$	$H^3/E^2$	$G$ , GPa	$\sigma_T$ , GPa
1	204.382	3.915	0.019	0.001	127.74	1.30
2	217.567	5.01	0.023	0.002	135.98	1.67
3	204.496	3.727	0.018	0.001	127.81	1.24
4	184.049	4.084	0.022	0.002	115.03	1.36
5	203.588	3.872	0.019	0.001	127.24	1.29
6	205.773	3.99	0.019	0.001	128.61	1.33
7	198.272	3.61	0.018	0.001	123.92	1.20
Average	202.590	4.030	0.020	0.001	126.62	1.342

### 3.2 Tribological Behavior

Tribological properties of the coating were carried out using ball-on-disc method on SMT-1 type machine. After friction and wear testing of the TiO<sub>2</sub> coating, the results of weight wear and friction coefficient evaluation were obtained (tab. 4 and 5). Table 6 presents the values of the initial microhardness and after two-stage loading.

**Table 4.** The level of weight wear

No.	Coating	Weight wear of, g	
		coating	roller
1	TiO <sub>2</sub>	-0.0116	-0.0074

**Table 5.** TiO<sub>2</sub> coating microhardness

No.	Coating	Microhardness, GPa	
		initial	after testing
1	TiO <sub>2</sub>	7.76	6.02

**Table 6.** Friction coefficient of TiO<sub>2</sub> coating

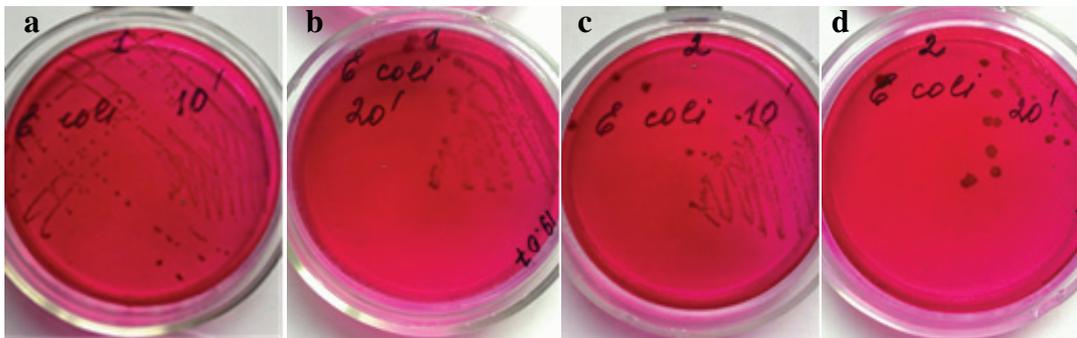
No.	Coating	Friction coefficient	
		with a 50 N load	with a 100 N load
1	TiO <sub>2</sub>	0.63	0.92

Considering the tougher conditions of dry friction, such results show a high resistance of the coating to wear, and it has a low friction coefficient under a load of 50 N (Tab.6). When a load is 100 N, friction coefficient increases by 43% due to the wear of the coating and the formation of friction contact with the sample substrate. The microhardness after testing is decreased slightly (by 13%) relative to the initial value.

### 3.3 Photocatalytic Activity

*E. coli* bacteria were set on meat-peptone agar and placed in thermostat at 37°C for 16 hours. The samples with TiO<sub>2</sub> coating and bare stainless steel passed sterilization procedure. They were washed in Septolane disinfectant solution (concentration 1 cm<sup>3</sup>/liter) and soaked for an hour. Next, they were washed with distillate and placed in sterilization bags in the autoclave at 180°C for 1 hour. After that, the grown colonies were transplanted onto samples coated with TiO<sub>2</sub> and bare SS. With the help of UV lamp with a wavelength of 253.4 nm, such two types of samples were exposed to UV irradiation with two different exposure times (10 and 20 minutes). Next was a flushing of a disposable transport tube with a swab of FL medical with Amies medium. The medium of endo was sown on a petri dish (16 h 37°C) in the thermostat.

The statistical results show a significant decrease of *E. coli* colonies with an increase in the irradiation time on samples coated with TiO<sub>2</sub> (Fig.6.).



**Figure 6.** Elimination of *E. coli* colonies on bare AISI 430 SS (a,b) and TiO<sub>2</sub> (c,d) under UV light exposure during 10 and 20 min.

## 4 Conclusions

1. TiO<sub>2</sub> coatings on AISI 430 stainless steel were produced by the vacuum-arc deposition technique with the application of modified curvilinear magnetic filter allowed enhanced deposition rates up to 50 μm/ hour decreasing the amount of macroparticles.
2. XRD analysis revealed the existence of TiO<sub>2</sub> phase. The chemical content was Ti = 29.54 at. % and O = 69.40 at. %.
3. The coating had very low amount of macroparticles distributed over the surface and composed of relatively small globular TiO<sub>2</sub> nanoparticles aggregated to form clusters.
4. According to nanohardness tests, the average value of nanohardness for TiO<sub>2</sub> was 13.8 GPa with the data spread 9.53 %. The average value of elastic modulus was 211.701 GPa. The spread of the data obtained does not exceed 7.71 %.
5. Considering the taught conditions of dry friction, the results show a high resistance of the coating to wear, and it has a low friction coefficient under a load of 50 N.
6. The statistical results show a significant decrease of *E.coli* colonies with an increase in the irradiation time on samples coated with TiO<sub>2</sub>.

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